



# Admin Memo

## 22-09

KARI GERS, RN, BS, HFES  
TRAINING SECTION  
CHCQ

## Objectives

- Review of guidance of Admin Memo 22-09-All
- Review of the Federal Monitoring Survey Types
- Identify the focus LTC health concern areas
- Identify the evaluating surveys for Life Safety Code

DEPARTMENT OF HEALTH & HUMAN  
SERVICES Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop C2-21-16  
Baltimore, Maryland 21244-1850



Center for Clinical Standards and Quality/ Survey Operations Group

**DATE:** September 20, 2022 Admin Info: 22-09-ALL  
*Revised April 7, 2023*  
**TO:** CMS Locations and State Agencies  
**FROM:** Karen Tritz, Director of Survey Operations Group  
**SUBJECT:** *Revised* Guidance for Federal Monitoring Surveys (FMS)

**Memorandum Summary**

- Provide guidance on how CMS Location staff will conduct Federal Monitoring Surveys (FMS) in FY2022 and **FY2023**.
- Provide the rationale for moving to a two-year cycle for Focus Concern identification.
- Communicate FY22 and **FY23** Mandated number of Long-Term Care FMS for Health and Life Safety Code (LSC)

## LTC-Federal Monitoring Surveys (FMS)

- AKA Validation surveys
- 5% of Skilled Nursing Facilities or no less than 5 per State
- Health LTC FMS- comprised of three survey processes

# Resource and Support Surveys (RSS)

- Federal Surveyor + State Surveyors
  - Focused Infection Control
  - Initial
  - Standard
  - Revisit
  - Complaint

## Focused Concern Surveys (FCS)

- Federal Surveyor
  - Independent Investigation of Identified Concerns
  - Occur w/l 60 days after a State Standard Survey, FIC, revisit, or complaint survey

## A Health Comparative

- Full Survey after a standard survey in same facility
  - Conducted by State Agency
  - Conducted W/I sixty calendar days following SA's standard survey

# LTC LSC FMS

## Federal Oversight & Support Survey (FOSS)

- Federal Surveyor + State LSC
  - Training
  - Technical Assistance

## A LSC Comparative

- Full Survey after Standard survey
  - State Agency in same facility
  - Includes Emergency prep survey
  - Sixty days following SA's standard survey



## CMS Identified Concerns (Nationally)

- Behavioral Health
- Immunizations
- Infection preventionist
- Language/communication
- Additional area(s)

# Behavioral Health

## F-Tags

- F740
- F741
- F742
- F743

## CEPs or Memos

- Behavioral and Emotional Status Critical Element Pathway

# Immunizations

## F-Tags

- F883
- F887
- F888

## CEPs or Memos

- Infection Prevention, Control, and Immunizations Critical Element Pathway
- QSO 21-19
- QSO 22-07 and QSO 23-02

# Infection Preventionist

## F-Tags/Regulations

- F882
- HSC 1255.9
- HSC 1275.41

## CEPs or Memos

- Infection Prevention, Control, and Immunizations Critical Element Pathway

# Language/Communication

## F-Tags

- F676

## CEPs or Memos

- Activities of Daily Living (ADL) Critical Element Pathways

# Optional Additional Concerns

## A 2567 will be drafted under the following conditions:

Identify Noncompliance	Draft 2567
Noncompliance at SQC, Harm (Level 3), or IJ (Level 4) <ul style="list-style-type: none"><li>• Behavioral Health (F740, F741, F742, F743)</li><li>• Infection Preventionist (F882)</li><li>• Language/ Communication (F676)</li></ul>	Yes
Noncompliance (S/S Level 2 or D or above) for Immunizations (F883, F887, F888)	Yes
Noncompliance cited at level 4 by Federal Surveyors and the SA cited at level 3	Yes
Noncompliance cited at Level 3 and SA cited at level 2	Yes
Noncompliance cited at Level 3 or Level 4 by the Federal Surveyor and the SA did not cite	Yes
Independent or associated tags that are not SQC or higher	No

# Survey Selection

- History of non-compliance
- Allegations of non-compliance r/t one or more care areas
- Complaints
- Media attention



## See Admin Info: 22-09-All (Revised 4/7/2023) Revised Guidance for Federal Monitoring Surveys

- Full Guidance
- Rational for moving to two-year cycle for focused concerns
- Mandated number of LTC FMS for Health and LSC

## Objectives

- Review of guidance of Admin Memo 22-09-All
- Review of the Federal Monitoring Survey Types
- Identify the focus LTC health concern areas
- Identify the evaluating surveys for Life Safety Code

